

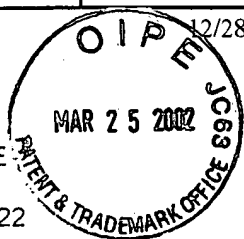


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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/033,433 | 12/28/2001 | William L. Jorgenson | 50135101-8 |

26453
BAKER & MCKENZIE
805 THIRD AVENUE
NEW YORK, NY 10022



CONFIRMATION NO. 9587

FORMALITIES LETTER



OC00000007332475

Date Mailed: 01/18/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

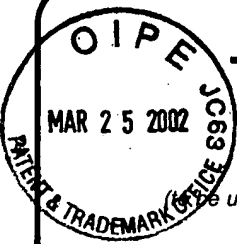
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PTO/SB/21 (08-00)

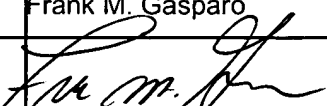
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|-----------------------------|----------------------|
|  <p>TRANSMITTAL FORM</p> <p><i>(to be used for all correspondence after initial filing)</i></p> | Application Number | 10/033,433 |
| | Filing Date | 12/28/2001 |
| | First Named Inventor | William L. Jorgenson |
| | Group Art Unit | 2167 |
| | Examiner Name | |
| Total Number of Pages in This Submission | | 22 |
| Attorney Docket Number | | 50135101-8 |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p>Response to Notice to File Corrected Appl. Papers;</p> |
| Remarks Copy of Notice to File Corrected Appl. Papers; 19 sheets of drawings; return receipt postcard. | | |

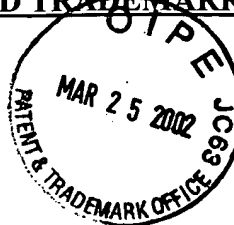
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Frank M. Gasparo |
| Signature |  |
| Date | 03/13/2002 |

| CERTIFICATE OF MAILING | | | |
|--|---|-------------|------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: | | | |
| | | | 3/13/2002 |
| Typed or printed name | Frank M. Gasparo | | |
| Signature |  | Date | 03/13/2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William L. Jorgenson et al.
Application No.: 10/033,433
U.S. Filing Date: December 28, 2001
Title: TRANSACTIONAL SUPPLY CHAIN SYSTEM AND METHOD
Group Art Unit: 2167
Examiner: Not Yet Assigned



Commissioner for Patents
Initial Patent Examination Center Division
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers dated January 18, 2002, a copy of which is enclosed, Applicants hereby respond as set forth herein.

Enclosed please find substitute drawings (with appropriate margins) in compliance with 37 C.F.R. 1.84. This should correct the informalities and overcome the objections raised.

While no fee is believed due, if any fee is due, please use Deposit Account No. 02-0393.

Respectfully yours,

Frank M. Gasparo
Reg. No. 44,700
Baker & McKenzie
805 Third Avenue
New York, New York 10022
Telephone (212) 751-5700
Facsimile (212) 759-9133

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 13, 2002.

Frank M. Gasparo Reg. No. 44,700